BENEFICIARY CHANGE FORM
CIM

FIRST TRUST RETIREMENT

Please Print or Type

Forward To: First Trust Retirement, c/o SS&C

Regular Mail	*Overnight Delivery*
PO Box 219312	Mail Stop: CIM
Kansas City, MO 64121-9312	430 West 7th Street
855-387-3847	Kansas City, MO 64105-1407

Step 1: IRA OWNER INFORMATION

IRA Owner Name	Fund Number	Account Number
Phone Number	Social Security Number	Date of Birth
Address	City / State / Zip	Email

Step 2: DESIGNATION OF BENEFICIARIES

The following individual(s) or entity(ies) shall be my primary and/or secondary beneficiary(ies). If neither primary nor secondary is indicated, the individual/entity will be deemed to be a primary beneficiary. If more than one primary beneficiary is designated and no distribution percentages are indicated, the beneficiaries will be deemed to own equal share percentages. Multiple secondary beneficiaries with no share percentage indicated will also be deemed to share equally. If any primary or secondary beneficiary dies before I do, his/her interest and the interest of his/her heirs shall terminate completely and the percentage share of any remaining beneficiary(ies) shall be increased on a pro rata basis. If no primary beneficiary(ies) survive me, the secondary beneficiary(ies) shall acquire the designated share. ***If you wish to have more than 6 beneficiary designations, please include a signed letter of instruction with additional beneficiary designations.***

No.	Beneficiary's Name If a Minor, Custodian's Full Name (non-IRA holder) and Relationship to the Minor Information	Date of Birth*	Social Security Number	Relationship (i.e., Spouse, Non-Spouse, Trust, Estate, etc.)	Primary or Secondary	Share %**
1					☐ Primary ☐ Secondary	
2					☐ Primary ☐ Secondary	
3					☐ Primary ☐ Secondary	
4					☐ Primary ☐ Secondary	
5					☐ Primary ☐ Secondary	
6					☐ Primary ☐ Secondary	

**Date of birth is required for a Spousal beneficiary.*

***Primary and Secondary beneficiary designations must each total 100%.*

Step 3: SPOUSAL CONSENT

Current Marital Status

- ☐ **I Am Not Married** – I understand that if I become married in the future, I must complete a new IRA Designation of Beneficiary form.
- ☐ **I Am Married and my Spouse is my primary beneficiary**
- ☐ **I Am Married and my Spouse is NOT my primary beneficiary** – I understand that if I choose to designate a primary beneficiary other than my spouse, my spouse must sign below if I reside in a community property or marital property state (*Arizona, California, Idaho, Louisiana, Nevada, New Mexico, Puerto Rico, Texas, Washington or Wisconsin*).

Consent of Spouse: I am the spouse of the above–named IRA Owner. I acknowledge that I have received a fair and reasonable disclosure of my spouse's property and financial obligations. Due to the important tax consequences of giving up my interest in this IRA, I have been advised to see a tax professional.

I hereby give the IRA Owner any interest I have in the funds or property deposited in the IRA and consent to the beneficiary designation(s) indicated above. I assume full responsibility for any adverse consequences that may result. No tax or legal advice was given to me by the Custodian.

Signature of Spouse | Date

Step 4: SIGNATURES REQUIRED

IRA Owner Signature | Date

Specific details and guides for all FTR forms, and how to complete them, can be located in Guides & Forms at www.firsttrustretirement.com